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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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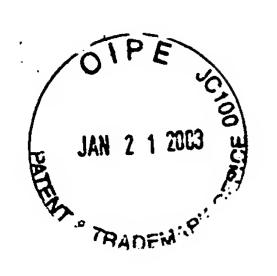
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#### **Application Number** 10/015,476 TRANSMITTAL December 13, 2001 Filing Date **FORM** Michael D. Hooven **First Named Inventor** 3739 Group Art Unit (to be used for all correspondence after initial filing) Not yet Assigned **Examiner Name HOOV 120** Attorney Docket Number Total Number of Pages in This Submission ENCL OSLIDES

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Affidavits/de	eclaration(s)		to Convert to a anal Application		X Status Letter	
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Express Abandonment Request  Supplemental Information Disclosure Statement		Reques	at Disclaimer It for Refund		- PTO/SB/08A - 4 Patent References - Certificate of Mailing - Return Receipt Poscard	
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Response to Missing Parts under 37 CFR 1.52 or 1.53			ostal Service Ex EV036908605U		Mail Vail	JAN
	SIGNATU	JRE OF APPLI	CANT, ATTORNE	EY, OR	AGENT C	2 4
Firm or Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Individual name Gary W. McFarron, Esq. (Reg. No. 27,357)			Ltd. R3700	2003		
Signature May W. M.				700		
Date	January 21, 2003	3				

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Typed or printed name	Jeannie Rapstad	
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La Parko 1-30-03 HS/Supli PATENT IDS

Attorney Docket No. HOOV 120

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,476

Filed: December 13, 2001

Group Art No.: 3739

Examiner: Not yet Assigned

For: SUB-XYPHOID METHOD FOR

ABLATING CARDIAC TISSUE

Commissioner for Patents
U.S. Patent & Trademark Office
Washington, D.C. 20231

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NAME Jeannie Rapstad

SIGNATURE A

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is

necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed before mailing date of a first Office Action on the merits. the Accordingly, it is believed that no additional fee is required for the submission of this Information Disclosure Statement. However, should an additional fee be required, authorization is hereby given to charge Deposit Account 50-1039. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

January 21, 2003 Date:

Gary W. McFarron, Esq. Registration No. 27,357 Cook, Alex, McFarron, Manzo,

Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850

60606 Chicago, IL

Telephone: (312) 236-8500

Attorneys for Applicant

By:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )	
Michael D. Hooven )	CERTIFICATE OF MAILING BY "EXPRESS MAIL"  "Express Mail" Mailing Label No.: EV036908605US  Date of Deposit January 21, 2003
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Group Art No.: 3739	NAME
Examiner: Not yet Assigned )	SIGNATURE Janue Kapstod
For: SUB-XYPHOID METHOD FOR ) ABLATING CARDIAC TISSUE )	

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# Certificate of Mailing Under 37 CFR 1.10

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- 1. Transmittal Form PTO/SB/21 (1 sheet);
- 2. Status Inquiry (1 sheet);
- 3. Supplemental Information Disclosure Statement (in duplicate, 4 sheets total);
- 4. PTO/SB/08A (1 sheet);
- 5. Four Patent References;
- 6. Certificate of Mailing (1 sheet); and
- 7. Return Receipt Postcard.

Name:	Jeannie Rapstad	
Signature:	Januie Rape	tal
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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Compl t if Known			
Application Number 10/015,476			
Filing Date	December 13, 2001		
First Named Inventor	Michael D. Hooven		
Art Unit	3739		
Examiner Name			
Attorney Docket Number	HOOV 120		

			U.S. PATE	ENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials		Number - Kind Code <sup>2</sup> (if known	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	us- 5,680,860	10-28-1997	Imran	
	2	<u>us- 6,071,281</u>	_06-06-2000_	_Burnside_et_al	
	3	us- 6,358,249	_03-19-2002_	Chen et al.	
	4	<u>us- 6,464,700</u>	10-15-2002	Koblish et al.	4
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	FOREIGN PATENT DOCUMENTS					
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.